**SHWETA NARSARIA**

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**PROFESSIONAL SKILLS AND QUALIFICATIONS**

* Five years of practical AML experiences in STR/SAR writing, STR & LCTR filing, Transactions Monitoring, De-marketing/Investigation report with two years of supervisory role
* Excellent knowledge of AML red flags, writing a report, MS Office Suite, AML software’s, analytical/investigation AML tools
* Actively pursuing ACAMS ( Certified Anti-Money Laundering Specialist)
* Consistently rated as excellent performer’ during past nine years at ICICI Bank Ltd

**EXPERIENCE SUMMARY**

* Result-driven, goal-focused and seasoned Compliance Professional with over 5+ years of hands-on experience in Anti-Money Laundering (AML)
* Awarded “Best Compliance Professional” for implementing regulatory guidance and strengthening the relationship with the regulators
* Experience in AML/ATF compliance, case adjudication, Unusual Activity /Transaction Report (UAR/UTR) and Suspicious Activity / Transaction Report (SAR/STR) filing, special investigations, and de-market analysis
* Proficient in Word, Excel (Macro, Pivot Table), PowerPoint, AML Software’s (FCRM), line searches, negative media searches and other due diligence in individuals and entities
* Strong communication skills (Both written and verbal)

**CAREER SYNOPSIS**

**Santander Bank, United Kingdom** Feb 2017 – May 2017

Customer Service Advisor at Santander Global Corporate Banking UK

* Handling of customer complaints in PPI (Payment Protection Insurance) department with utmost accuracy
* Case creation and reporting fraud after analysing customer complaint

**ICICI Bank, India**

Completed 9.2 years with ICICI Bank having worked across functions and departments in Customer service and Compliance.

* **Branch Compliance Manager (Manager Band-II)** May 2014 - Sep 2016
* **AML Analyst / Investigator (Manager Band-I)** Apr 2011 - Apr 2014
* **Customer Service, Compliance and Escalations Manager** Dec 2007 - Mar 2011
* **Sales Manager** Jul 2007 - Nov 2007

**Roles and Responsibilities:**

* Coordinated with AML Compliance to prepare Unusual Transaction Report (UTR) and Suspicious Activity / Transaction Reports (SAR/STR) and other regulatory reports to file with relevant FIU as needed
* Conducted Anti-Money Laundering (AML) related investigations and ensured compliance according to enterprise-wide AML/ATF/KYC/CDD guidelines
* Managed final tier investigations of non-sanction name screening, all types’ accounts transactions (unusual & suspicious) to close the alert through proper AML compliance guidelines
* Conduct an end-to-end and risk-based approach and is accountable for conducting investigations into flagged unusual account or customer activity to determine if the activity is suspicious or file suspicious transaction reports (STRs) to FINTRAC when money laundering or terrorist financing is suspected
* Identified unusual activities and referred transactions related to money laundering or terrorist financing for further investigation or reporting
* Initiated writing RFI and /or dealing with internal partners to obtain additional information/documents
* Conducted enhanced due diligence (EDD) reviews within prescribed processes /guidelines and assessed risk posed to the organization by high risk
* Adjudicated alerts from automated Transaction Monitoring Systems to identify potential PEPs or persons or entities on other regulatory lists
* Completed risk-based investigation into the incidents generated by matches from name scanning against relevant PEPs, High-risk clients, Economic Sanctions and Terrorists’ Lists

**EDUCATION**

**MBA in Marketing**

N.L. Dalmia Institute of management studies and research2007

**Bachelor of Computer Science**

Holkar Science College, Indore 2005

**References available upon requests**